

Network 18 - Patient Event Definition and Business Rules

Code on PAR	Event	Effect on Dialysis Facility Population	Brief Definition	Business Rules for Facilities	Form Required with PAR
1	New ESRD Patient	Addition	Patient has been diagnosed with ESRD and receives his/her first ever kidney transplant or his/her first ever outpatient chronic dialysis treatment	<ol style="list-style-type: none"> For patients new to chronic outpatient dialysis or receiving a transplant as his or her first ESRD event. Patient may have only one New to ESRD event. <p>Note:</p> <ul style="list-style-type: none"> No Event can precede this event. Acute patients should never be reported to the Network or CMS (No 2728 form is needed). If an acute patient has been reported as chronic, the facility must notify the Network to remove the patient from census. 	Requires 2728 Form
2	Transfer In	Addition	Patient transfers into a dialysis facility, having received outpatient chronic dialysis treatment elsewhere. User must record a subcategory to indicate type of transfer	<ol style="list-style-type: none"> If patient is receiving short-term transient dialysis (<30 days), do not record the Transfer In. If the patient is returning to dialysis after a transplant failure, do not use this event. (Dialysis After Transplant Failed should be used). A Transfer In can follow a Transfer Out from the same facility. 	
2A	Transfer In - Category A: Patient previously served in ESRD Medicare system	Addition	Patient transfers into a dialysis facility having previously received outpatient chronic dialysis treatment at an ESRD Medicare Certified Provider (facility).	<ol style="list-style-type: none"> Use this event when a patient is transferring from one ESRD Medicare Certified Dialysis Provider to another or if a patient is transferring from a non-Medicare facility, but has previously received treatment in an ESRD Medicare Certified Provider. 	
2B	Transfer In - Category B: Patient new to ESRD Patient Registry.	Addition	Patient transfers into the dialysis facility to receive his or her first outpatient chronic dialysis treatment at an ESRD-Medicare Certified Provider (facility).	<ol style="list-style-type: none"> Use this event when a patient receives his or her first outpatient dialysis or transplant at a non-Medicare facility, including a prison or a facility in another country. <u>If the patient is new to a facility and is a foreign national or former prisoner, users should create a Transfer In as the patient's 1st events and you MUST also complete a 2728 form in order for the patient to receive Medicare benefits.</u> <p>Note:</p> <ul style="list-style-type: none"> No Event can precede this event. Treat as New ESRD Patient event (#1). 2728 form is required from ESRD facility. 	See rule for required 2728 Form

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3	Restart	Addition	Patient had previously stopped dialysis treatment and is now resuming outpatient chronic dialysis treatment.	<p>1. If a patient <u>Restarts dialysis after 12 months of stopping outpatient chronic dialysis treatment</u>, submit a new <u>2728 form to re-register</u> and/or re-instate ESRD Medicare benefits.</p> <p>Note:</p> <ul style="list-style-type: none"> • For an ESRD Patient Restarting dialysis: <ul style="list-style-type: none"> ○ No 2728: if [Restart < 12 months] of stopping chronic dialysis treatment. ○ Yes 2728: if [Restart > 12 months] of stopping outpatient chronic dialysis treatment. <ul style="list-style-type: none"> ➢ Submit a new 2728 form to re-register and/or re-instate ESRD Medicare benefits. 	See rule for required 2728 Form
4A	Dialysis After Transplant Failed with in US (at Dialysis Facility) - A	Addition	Patient has rejected a transplant that occurred inside of US and is receiving his or her first post-transplant outpatient dialysis treatment at an outpatient facility.	<p>1. Transplanted patients may experience episodes of kidney rejection and will need dialysis support to assist in the function of the kidney. This may take up to 2 to 3 months. Do not report this patient as a transplant failure unless the physician writes a prescription that this patient needs dialysis three times a week and that the transplanted kidney has failed. Only use this event after a transplant surgeon or physician states that the transplant has failed.</p> <p>3. *Note: This event was previously "Dialysis after Transplant". It has been changed to "Dialysis after Transplant Fail". The event code remains the same.</p> <p>Note:</p> <ul style="list-style-type: none"> • For ESRD patient dialyzing after U.S. Transplant Failed: <ul style="list-style-type: none"> ○ No 2728: If [Transplant Failed < 3 years] of receiving transplant in the U.S. ○ Yes 2728: If [Transplant Failed > 3 years] of receiving transplant in the U.S. • This event cannot be followed by a Recovery Event. 	See rule for required 2728 Form
4B	Dialysis After Transplant Failed outside of US (at Dialysis Facility) - B	Addition	Patient has rejected a transplant that occurred outside of US and is receiving his or her first post-transplant outpatient dialysis treatment at an outpatient facility.	<p>1. Transplanted patients may experience episodes of kidney rejection and will need dialysis support to assist in the function of the kidney. This may take up to 2 to 3 months. Do not report this patient as a transplant failure unless the physician writes a prescription that this patient needs dialysis three times a week and that the transplanted kidney has failed. Only use this event after a transplant surgeon or physician states that the transplant has failed.</p> <p>2. *Note: This event was previously "Dialysis after Transplant". It has been changed to "Dialysis after Transplant Fail". The event code remains the same.</p> <p>Note:</p> <ul style="list-style-type: none"> • For ESRD patient dialyzing after Non-U.S. Transplant Failed: <ul style="list-style-type: none"> ○ Yes 2728: If patient is New to ESRD. ○ Yes 2728: If patient Returning to ESRD after [None U.S. Transplant Failed > 3 years]. ○ No 2728: If patient Returning to ESRD after Non 	See rule for required 2728 Form

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				<p>U.S. Transplant Failed <3 years].</p> <ul style="list-style-type: none"> Cannot be followed by a Recovery event. 	
5A	Transfer Out for a Transplant in USA	Loss	Patient leaves a dialysis facility to receive a kidney transplant at a facility within the US.	<p>1. This event is recorded by a dialysis facility only.</p> <p>Note:</p> <ul style="list-style-type: none"> Use the day before Transplant as the date of Transfer Out event. Include the transplant provider number and name on the PAR. 	
5B	Transfer Out for a Transplant outside of USA	Loss	Patient leaves a dialysis facility to receive a kidney transplant at a facility outside of US.	<p>1. This event is recorded by a dialysis facility only.</p> <p>Note:</p> <ul style="list-style-type: none"> Use the date before Transplant as the date of Transfer Out event. Include the transplant provider number and name on the PAR. Provide the transplant type (if available) on the PAR. 	
6A	Transfer Out Category A: To ESRD Medicare Dialysis	Loss	Patient is transferring long term/permanently to another ESRD Medicare Certified Dialysis Provider.	<p>1. For record keeping purposes, if a patient is receiving short-term transient dialysis (Transient), do not record transfer.</p> <p>2. This event will be recorded in Field 11A or 11B on the CMS-2744 Facility Survey Form.</p> <p>Note:</p> <ul style="list-style-type: none"> Yes Transfer Out: Patient receives [treatment > 30 days] at another ESRD facility. No Transfer Out: Patient receives [short-term treatment < 30 days] at another ESRD facility. 	
6B	Transfer Out Category B: To Non-ESRD Medicare Dialysis Facility	Loss	Patient is leaving facility and will receive care for more than 30 days at a non ESRD-Medicare Certified Provider.	<p>1. Use this event when patient begins long-term Incarceration or leaves the country for an extended period.</p> <p>2. If patient is away for less than 30 days, do not record the transfer.</p> <p>3. This event is recorded in Field 11A or 11B on the CMS-2744 Facility Survey.</p> <p>Note:</p> <ul style="list-style-type: none"> Yes - Transfer Out: Patient receives [treatment > 30 days] at another country/Non-ESRD facility. No - Transfer Out: Patient receives [short-term treatment < 30 days] at another country/Non- ESRD facility. Include the dialysis provider number, name and County on the PAR. 	

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6C	Transfer Out Category C: Involuntary Discharge from treatment	Loss	Patient has been discharged from the facility against his or her will.	<ol style="list-style-type: none"> 1. Use this event for ALL involuntary discharges, regardless of where patient received services after discharge. 2. This event is recorded in Field 11A or 11B on the CMS-2744 Facility Survey. <p>Note:</p> <ul style="list-style-type: none"> • ESRD facilities must contact the Network prior to reporting patient as involuntary discharge. 	
7	Discontinue	Loss	Patient discontinues dialysis after the decision to permanently stop dialysis has been specifically articulated by the patient, family, or legal designee.	<ol style="list-style-type: none"> 1. Create this event only when a patient, family, or legal designee communicates the decision to discontinue dialysis with the expectation that death will soon follow. 2. Facility is expected to follow patient for 30 days following a discontinue, and must submit the CMS-2746 form for patient if death occurs within 30 days. <p>Note:</p> <ul style="list-style-type: none"> • ESRD facilities must contact Network prior to reporting patient as Discontinue. • Networks and facilities should record the event date regardless of how they learn of the event. 	
8	Death	Loss	Patient has expired.	<ol style="list-style-type: none"> 1. Facilities are responsible for completing the CMS-2746 form for the patient if the death occurs within 30 days after the patient has been out of their care and no other facility has reported treating the patient. 2. For reporting and analysis purposes, the death is reported under this facility if the death occurs within 30 days of the last treatment. 3. Networks and facilities must record a death date. 	Requires 2746 Form
9	Recover Function	Loss	Patient regains renal function of his/her native kidney and is able to survive without ESRD therapy.	<ol style="list-style-type: none"> 1. Refers only to a patient's native kidney(s), not to a transplanted kidney. 	
10	Lost to Follow Up	Loss	Patient no longer attends dialysis, facility is unable to locate the patient and Network is unable to locate the patient through the central repository.	<ol style="list-style-type: none"> 1. This event should rarely be used. 2. Enter this event after the facility makes every effort to locate a patient but does not know where the patient is. 3. Do not use this event when a patient communicates the decision to discontinue dialysis or has transferred out to another facility. <p>Note:</p> <ul style="list-style-type: none"> • If another facility reports a [Transfer In < 30 days] Change LTFU to Transfer Out. • If another facility reports a [Transfer In > 30 days] Keep as LTFU. <ul style="list-style-type: none"> ○ Change Transfer In to Restart. ○ If Restart > 12 months] facility needs a NEW 2728 form. • If patient [Expires < 30 days] Change to Death. • If patient [Expires > 30 days] Keep as LTFU. 	

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				<ul style="list-style-type: none"> ESRD facilities must contact the Network prior to reporting patient as Lost to Follow Up. 	
11	Modality Change	Neutral	Patient remains at the dialysis treatment facility and changes to a different type of dialysis modality as determined by the patient and care team to be the patient's anticipated modality for the foreseeable future.	<ol style="list-style-type: none"> For any long-term anticipated change in dialysis modality occurring at the same facility. <u>If modality change is from Staff-assisted Dialysis to Self Care Dialysis:</u> <ol style="list-style-type: none"> Yes Supplemental 2728 (Part D): If [Modality Changes < 90 days] of new patient event. <ul style="list-style-type: none"> This waives the waiting period for Medicare benefits. Facility must notify Network if patient does not complete training. No Supplemental 2728: If [Modality Changes > 90 days] of new patient event. If patient is starting a new dialysis modality with a different provider, use Transfer In or Transfer Out. If the patient receives a transplant, use 5A code for the event. <p>Note:</p> <ul style="list-style-type: none"> Facility should contact the Network before reporting Modality Codes: <ul style="list-style-type: none"> Home Assisted Hemo. In Center Self. Frequent dialysis is defined as 5 or more times per week. <ul style="list-style-type: none"> Report these patients as Modality Changes. 	See rule for required 2728 Form
15	Interruption in Service in a Chronic Outpatient Setting	Neutral	Patient is experiencing a interruption of services for greater than 30 days while in an acute care setting or rehabilitation facility and is expected to return to the outpatient dialysis facility.	<ol style="list-style-type: none"> Use this event if the patient is experiencing a long-term (greater than 30 days) interruption of services while in an acute care setting or rehabilitation facility and is expected to return to the outpatient dialysis facility. If the patient has been away from the dialysis facility for over 30 days and the Interruption in Service event is reported then that patient should not be considered when completing the facility death form and SMR's. 	
16	Resume Service	Neutral	Patient is returning to the dialysis facility from an acute care setting or rehabilitation facility.	<ol style="list-style-type: none"> Use this event when a patient is assigned the status of Interruption in Service and is now returning to the dialysis facility. This event is not recorded as an addition on the CMS-2744 Facility Survey since it represents a patient returning from a temporary interruption of service. 	
12	Transplant	Neutral Transplant Center event only	Patient receives a kidney transplant at a transplant facility.	<ol style="list-style-type: none"> This event is only recorded at a transplant facility. 	Requires 2728 Form only if new patient

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None	Transplant Failure (at the Transplant Facility)	Neutral Transplant Center event only	Patient's kidney transplant has permanently failed and the patient will need to resume or start dialysis; or receive another transplant.	<ol style="list-style-type: none"> 1. Do not use this event if the patient requires backup or "kick start" dialysis after a transplant. This event is for transplant support dialysis. Do not submit a CMS-2728 form or enter this event in SIMS. 2. If the patient receives a transplant within 90 days of a New ESRD Patient event or more than 3 years after a previous transplant, a new CMS-2728 form may be necessary. 	
None	Continuing	Neutral	Patient was receiving ESRD treatment at time of 1988 Network consolidation, but prior event data was not available.	<ol style="list-style-type: none"> 1. This event is a result of legacy data and may appear in SIMS and VISION for patients for whom pre-1988 data was not available. 	